U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/698,073 Application Number OIPE RANSMITTAL October 26, 2003 Filing Date SEP 2 2 2004 H **FORM** First Named Inventor Cynthia B. Robinson all correspondence after initial filing) 1624 Art Unit & TRADEMA Unassigned **Examiner Name** Total Number of Pages in This Submission 30775-723.201 Attorney Docket Number ENCLOSURES (Check all that apply) After Allowance communication to · Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) X X Extension of Time Request Terminal Disclaimer (please identify below): Copy of Notice of Incomplete Express Abandonment Request Request for Refund Reply, Preliminary Amendment in Response to Notice to Comply with Sequence Listing Requirement, Sequence Listing on papter and computer readable Information Disclosure Statement CD, Number of CD(s) format, Statement to Support Filing and Submission in Accordance with 37 CFR 1.821-1.825. Remarks The Commissioner is hereby authorized to charge and credit deposit account 23-1415 (Attorney Docket No. 30775-723.201) for any charge of credit, overpayment, or any additional fees required. SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Albert P. Halluin, Reg. No. 25,227, WILSON SONSINI GOODRICH & ROSATI Individual name Signature Date September 22, 2004 CERTIFICATE OF EXPRESS MAIL I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated below and addressed to: M/S Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: September 22, 2004. EV 517773609 US. Typed or printed name Donna L. Hengst Signature Date September 22, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time

Telephone

September 22, 2004

Date

650-493-9300

SEP 2 2 2004

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FEE TRANSMITTAL						Complete if Known					
for FY 2004 Effective 10/01/2003. Patent fees are subject to annual revision.						Application Number 10/698,073					
						ate			October 26, 2003		
						ned Inven	tor		Cynthia B. Robinson		
☐ applicant claims small entity status. See 37 CFR 1.27.						Examiner Name			Unassigned		
TOTAL AMOUNT OF PAYMENT (\$) 740.00									1624		
						Attorney Docket No. 30775-723.201					
METHOD OF PAYMENT (check all that apply)						FEE CALCULATION (continued)					
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None						3. ADDITIONAL FEES					
Order											
Deposit Account:						Entity	Small	Entity	_	_	
Deposit Account Number 23-2415					Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposit Account Name Wilson Sonsini Go			oodrich & Rosati		1051	130	2051 -	65	Surcharge - late filing fee or oath		
The Director is authorized to: (check all that apply)					1052	50	2052	25	Surcharge - late provisional filing fee or		
☐ Charge fee(s) indicated below ☐ Credit any overpayments					1053	130	1053	130	cover sheet Non-English specification		
☐ Charge any additional fee(s) or any underpayment fee(s)					1812	2,520	1812	2,520	For filing a request for ex parte		
Charge fees(s) indicated below, except for the filing fee to the above-identified deposit account.					1804	920*	1804	920*	reexamination Requesting publication of SIR prior to Examiner action		
FEE CALCULATION					1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
1. BASIC FILI	NG FEE				1251	110	2251	55	Extension for reply within first month		
Large Entity		ntity			1252	420	2252	210	Extension for reply within second month		
Fee Fee Code (\$)		Fee D	escription Fee Pa	aid	1253	950	2253	475	Extension for reply within third month		
1001 770			filing fee		1254	1,480	2254	740	Extension for reply within fourth month	740.00	
1002 340	2002	170 Design	n filing fee		1255	2,010	2255	1,005	Extension for reply within fifth month		
1003 530	2003	265 Plant	filing fee		1401	330	2401	165	Notice of Appeal		
1004 770	2004	385 Reissi	ie filing fee		1402	330	2402	165	Filing a brief in support of an appeal		
1005 160	2005	80 Provis	ional filing fee		1403	290	2403	145	Request for oral hearing		
SUBTOTAL (1) (\$)						1,510	1451	1,510	Petition to institute a public use proceeding		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE						110	2452	55	Petition to revive - unavoidable		
		Extra Claims	Fee from below Fee Pa	hie	1453	1,330	2453	665	Petition to revive - unintentional		
Total Claims	-20** =	x	=		1501	1,330	2501	665	Utility issue fee (or reissue)		
Independent Claims	-3** =	x			1502	480	2502	240	Design issue fee		
Multiple Dependent				\equiv	1503	640	2503	320	Plant issue fee		
Large Entity	Small E	ntity			1460	130	1460	130	Petitions to the Commissioner		
Fee Fee	Fee	Fee		l		50					
Code (\$)	Code 2202	(\$)	Fee Description		1807	30	1807	50	Processing fee under 37 CFR 1.17(q)		
1202 18	2202	9 Clai	ims in excess of 20	- 1	1806	180	1806	180	Submission of Information Disclosure Stmt		
1201 86	2201		ependent claims in excess	of 3	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1203 290	2203	145 Mul	ltiple dependent claim, if n	ot	1809	77 0	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))		
1204 86	2204	43 **R	Leissue independent claims r original patent		1810	77 0	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1205 18	2205	9 **R	eissue claims in excess of over original patent	20	1801	770	2801	385	Request for Continued Examination (RCE)		
				1	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 385						Other fee (specify)					
**or number previously paid, if greater; For Reissues, see below						d by Basic	Filing Fe	e Paid	SUBTOTAL (3)	740.00	
SUBMITTED BY									(Complete (if applicable))		
SUBMITTED BY (Complete (if applicable)) Registration No.											

(Attorney/Agent)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO: Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type)

Signature

Page 1 of 2



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Viginia 22313-1450 www.usplu.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/698,073

10/26/2003

Cynthia B. Robinson

02486.0078.NPUS01

Attn: Albert P. Halluin Wilson Sonsini Goodrich &Rosati, PC

650 Page Mill Road Palo Alto, CA 94304-1050



CONFIRMATION NO. 9183

FORMALITIES LETTER

OC000000013499047

Date Mailed: 08/12/2004

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 03/26/2004 to the Notice to File Missing Parts (Notice) mailed 08/10/2004 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only a single copy of each disc was provided. A duplicate copy of each compact disc must be provided on Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.
- This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.
- This application contains compact disc(s) as part of the originally filed subject matter or as an amendment, however the information contained on the compact disc(s) is not one of the types of information that may be submitted on compact disc(s). (see 37 CFR 1.52(e)).
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825, Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after

September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

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